

# EXHIBIT A

**IN THE UNITED STATES DISTRICT COURT  
FOR THE EASTERN DISTRICT OF MICHIGAN  
SOUTHERN DIVISION**

IN RE: AUTOMOTIVE PARTS  
ANTITRUST LITIGATION

In re: Wire Harness  
In re: Heater Control Panels

THIS DOCUMENT APPLIES TO:

End-Payor Actions

Master File No. 12-md-02311

Hon. Marianne O. Battani

12-cv-00103-MOB-MKM  
12-cv-00403-MOB-MKM

**SETTLEMENT AGREEMENT**

This Settlement Agreement (“Agreement”) is made and entered into this 15th day of September, 2015 (“Execution Date”) by and between Sumitomo Electric Industries, Ltd. (“SEI”), Sumitomo Wiring Systems, Ltd., Sumitomo Electric Wiring Systems, Inc. (incorporating K&S Wiring Systems, Inc.), Sumitomo Wiring Systems (U.S.A.) Inc., (collectively, “Sumitomo”), and End-Payor Plaintiff Class Representatives (“End-Payor Plaintiffs”), both individually and on behalf of a class of end-payor indirect purchasers of Automotive Wire Harness Systems and Heater Control Panels (“HCPs”) (the “Settlement Classes”), as more particularly defined in Paragraph 11 below.

WHEREAS, End-Payor Plaintiffs are prosecuting the above *In Re Automotive Parts Antitrust Litigation*, Master File No. 12-md-02311 (E.D. Mich.) (the “MDL Litigation”), Case No. 12-cv-00103 (the “Wire Harness Action”) and Case No. 12-cv-00403 (the “HCP Action”) (collectively, the “Actions”) on their own behalf and on behalf of the Settlement Classes against, among others, Sumitomo;

WHEREAS, End-Payor Plaintiffs allege that (1) they were injured as a result of Sumitomo's participation in an unlawful conspiracy to raise, fix, maintain, and/or stabilize prices, rig bids, allocate markets and customers for Automotive Wire Harness Systems (as defined below) in violation of Section 1 of the Sherman Act and various state antitrust, unfair competition, unjust enrichment, and consumer protection laws as set forth in End-Payor Plaintiffs' Fourth Amended Consolidated Class Action Complaint in the Wire Harness Action (the "Wire Harness Complaint"); (2) they were injured as a result of Sumitomo's participation in an unlawful conspiracy to raise, fix, maintain, and/or stabilize prices, rig bids, allocate markets and customers for HCPs (as defined below) in violation of Section 1 of the Sherman Act and various state antitrust, unfair competition, unjust enrichment, and consumer protection laws as set forth in End-Payor Plaintiffs' Second Consolidated Amended Class Action Complaint in the HCP Action (together with the Wire Harness Complaint, the "Complaints").

WHEREAS, Sumitomo denies End-Payor Plaintiffs' allegations and has asserted defenses to End-Payor Plaintiffs' claims in the Actions;

WHEREAS, arm's-length settlement negotiations have taken place between Settlement Class Counsel (as defined below) and counsel for Sumitomo and this Agreement has been reached as a result of those negotiations;

WHEREAS, End-Payor Plaintiffs, through their counsel, have conducted an investigation into the facts and the law regarding the Actions and have concluded that resolving the claims against Sumitomo, according to the terms set forth below, is in the best interest of End-Payor Plaintiffs and the Settlement Classes because of the payment of the

Settlement Amount and the value of the Injunctive Relief and Cooperation (as those terms are defined below) that Sumitomo has agreed to provide pursuant to this Agreement;

WHEREAS, the Actions will continue against Defendants (as defined below) that are not Releasees (as defined below);

WHEREAS, Sumitomo, despite its belief that it is not liable for the claims asserted and its belief that it has good defenses thereto, has nevertheless agreed to enter into this Agreement to avoid further expense, inconvenience, and the distraction of burdensome and protracted litigation, and to obtain the releases, orders, and judgment contemplated by this Agreement, and to put to rest with finality all claims that have been or could have been asserted against Sumitomo with respect to Automotive Wire Harness Systems and/or HCPs based on the allegations in the Actions, as more particularly set out below;

WHEREAS, Sumitomo has provided Cooperation to End-Payor Plaintiffs throughout the Actions pursuant to the Antitrust Criminal Penalty Enhancement and Reform Act (“ACPERA”) and Sumitomo has agreed to continue providing Cooperation to End-Payor Plaintiffs in the ongoing prosecution of the Actions as set forth in this Agreement, and such Cooperation has reduced, and will continue to reduce, End-Payor Plaintiffs’ substantial burden and expense associated with prosecuting the Actions; and

WHEREAS, End-Payor Plaintiffs recognize the benefits of Sumitomo’s Cooperation and recognize that because of joint and several liability, this Agreement with Sumitomo does not impair End-Payor Plaintiffs’ ability to collect the full amount of damages to which they and the Settlement Classes may be entitled in the Actions, including any damages attributable to Sumitomo’s alleged conduct:

NOW, THEREFORE, in consideration of the covenants, agreements, and releases set forth herein and for other good and valuable consideration, it is agreed by and among the undersigned that the Actions be settled, compromised, and dismissed on the merits with prejudice as to the Releasees and except as hereinafter provided, without costs as to End-Payor Plaintiffs, the Settlement Classes, or Sumitomo, subject to the approval of the Court, on the following terms and conditions:

A. Definitions.

1. “Cooperation” shall refer to those provisions set forth below in Paragraphs 32-38.
2. “Cooperation Materials” means any information, testimony, Documents (as defined below) or other material provided by Sumitomo under the terms of this Agreement.
3. “Defendant” means any party named as a defendant in the Actions at any time up to and including the date when the Court has entered a final order certifying the Settlement Classes described in Paragraph 11 and approving this Agreement under Federal Rule of Civil Procedure 23(e).
4. “Document” is defined to be synonymous in meaning and equal in scope to the usage of this term in Rule 34(a) of the Federal Rules of Civil Procedure, including without limitation, electronically stored information. A draft or non-identical copy is a separate document within the meaning of this term.
5. “End-Payor Plaintiff Class Representatives” means those Settlement Class Members, as defined in Paragraph 13, below, who are named plaintiffs in the Complaints.
6. “Indirect Purchaser States” means Arizona, Arkansas, California, District of Columbia, Florida, Hawaii, Iowa, Kansas, Maine, Massachusetts, Michigan, Minnesota, Mississippi, Missouri, Montana, Nebraska, Nevada, New Hampshire, New Mexico, New York,

North Carolina, North Dakota, Oregon, Rhode Island, South Carolina, South Dakota, Tennessee, Utah, Vermont, West Virginia, and Wisconsin.

7. For purposes of this Agreement, “Automotive Wire Harness Systems” and “HCPs” shall have the same meaning as set forth in the operative Complaint for each Action at the time this Agreement is executed.

8. “Opt-Out Deadline” means the deadline set by the Court for the timely submission of requests by Settlement Class Members to be excluded from the Settlement Classes.

9. “Releasees” shall refer to Sumitomo and to all of its respective past and present, direct and indirect, parents, subsidiaries, and affiliates, including but not limited to the predecessors, successors and assigns of each of the above; and each and all of the present and former principals, partners, officers, directors, supervisors, employees, agents, stockholders, members, representatives, insurers, attorneys, heirs, executors, administrators, and assigns of each of the foregoing. “Releasees” does not include any defendant in the MDL Litigation other than Sumitomo.

10. “Releasers” shall refer to End-Payor Plaintiff Class Representatives and the members of the Settlement Classes, as defined in Paragraph 11, below, and to their past and present officers, directors, supervisors, employees, agents, stockholders, members, attorneys, servants, representatives, parents, subsidiaries, affiliates, principals, partners, insurers and all other persons, partnerships or corporations with whom any of the former have been, or are now, affiliated, and the predecessors, successors, heirs, executors, administrators and assigns of any of the foregoing.

11. For purposes of this Agreement, the “Settlement Classes” shall refer to Wire Harness Settlement Class and HCP Settlement Class, both of which are defined as below:

(a) “Wire Harness Settlement Class” is defined as:

All persons and entities from January 1, 1999, through the Execution Date who purchased or leased a new vehicle in the United States not for resale, which included one or more Automotive Wire Harness System(s) as a component part, or indirectly purchased one or more Automotive Wire Harness System(s) as a replacement part, which were manufactured or sold by a Defendant, any current or former subsidiary of a Defendant, or any co-conspirators of the Defendants. Excluded from the Wire Harness Settlement Class are Defendants, their parent companies, subsidiaries and affiliates, any co-conspirators, federal government entities and instrumentalities of the federal government, states and their subdivisions, agencies and instrumentalities, and persons who purchased Automotive Wire Harness Systems directly or for resale.

(b) “HCP Settlement Class” is defined as:

All persons and entities from January 1, 1999, through the Execution Date who purchased or leased a new vehicle in the United States not for resale, which included one or more HCP(s) as a component part, or indirectly purchased one or more HCP(s) as a replacement part, which were manufactured or sold by a Defendant, any current or former subsidiary of a Defendant, or any co-conspirators of the Defendants. Excluded from the HCP Settlement Class are Defendants, their parent companies, subsidiaries and affiliates, any co-conspirators, federal government entities and instrumentalities of the federal government, states and their subdivisions, agencies and instrumentalities, and persons who purchased HCPs directly or for resale.

12. “Settlement Class Counsel” shall refer to the law firms of:

Cotchett, Pitre, & McCarthy LLP  
San Francisco Airport Office Center  
840 Malcolm Road, Suite 200  
Burlingame, CA 94010

Robins Kaplan LLP.  
601 Lexington Avenue, Suite 3400  
New York, NY 10022

Susman Godfrey L.L.P.  
1901 Avenue of the Stars, Suite 950  
Los Angeles, CA 90067

13. “Settlement Class Member” means each member of the Settlement Classes who has not timely elected to be excluded from the Settlement Classes.

14. “Settlement Amount” shall be US \$38,000,000. Settlement Class Counsel shall allocate the Settlement Amount between the Wire Harness Systems Settlement Class and the HCP Settlement Class subject to approval by the Court after notice to the Settlement Classes as directed by the Court. The “Settlement Funds” shall be the Settlement Amount allocated between the Wire Harness Systems Settlement Class and the HCP Settlement Class plus any income or accrued interest earned on that amount.

B. Approval of this Agreement and Dismissal of Claims Against Sumitomo.

15. End-Payor Plaintiffs and Sumitomo shall use their best efforts to effectuate this Agreement, including cooperating in seeking the Court’s approval for the establishment of procedures (including the giving of class notice under Federal Rules of Civil Procedure 23(c) and (e)) to secure the complete, and final dismissal with prejudice of the Actions as to the Releasees only.

16. Within fifteen (15) days after the date the last signature to this Agreement is delivered, End-Payor Plaintiffs shall in each of the Actions submit to the Court a motion seeking preliminary approval of this Agreement (the “Motions”). The Motions shall include (i) the proposed form of an order preliminarily approving this Agreement, and (ii) a proposed form of order and final judgment that shall include at least the terms set forth in Paragraph 18 below.



The text of these proposed orders shall be agreed upon by End-Payor Plaintiffs and Sumitomo before submission of the Motions.

17. After notice to Sumitomo, End-Payor Plaintiffs shall, at a time to be decided in their sole discretion, in each Action submit to the Court a motion for authorization to disseminate notice of the settlement and final judgment contemplated by this Agreement to all members of the Settlement Classes identified by End-Payor Plaintiffs (the "Notice Motion"). In order to mitigate the costs of notice, the End-Payor Plaintiffs shall endeavor, if practicable, to disseminate notice with any other settlements that have been or are reached in the MDL Litigation at the time the Notice Motion is filed. The Notice Motion shall include a proposed form of, method for, and date of dissemination of notice in each of the Actions, which shall be subject to good faith efforts to agree by the End-Payor Plaintiffs and Sumitomo before submission of the Notice Motion.

18. End-Payor Plaintiffs shall seek, and Sumitomo will not object unreasonably to, the entry of an order and final judgment in each of the Actions, the text of which End-Payor Plaintiffs and Sumitomo shall agree upon. The terms of that proposed order and final judgment will include, at a minimum, the substance of the following provisions:

(a) certifying the Settlement Classes described in Paragraph 11, pursuant to Rule 23 of the Federal Rules of Civil Procedure, solely for purposes of this settlement as a settlement class for each of the Actions;

(b) as to the Actions, approving finally this settlement and its terms as being a fair, reasonable and adequate settlement as to the Settlement Class Members within the meaning of Rule 23 of the Federal Rules of Civil Procedure and directing its consummation according to its terms;

(c) as to Sumitomo, directing that the Actions be dismissed with prejudice and, except as provided for in this Agreement, without costs;

(d) reserving exclusive jurisdiction over the settlement and this Agreement, including the interpretation, administration and consummation of this settlement, as well as over Sumitomo, for the duration of its provision of Cooperation pursuant to this Agreement, to the United States District Court for the Eastern District of Michigan;

(e) determining under Federal Rule of Civil Procedure 54(b) that there is no just reason for delay and directing that the judgment of dismissal in each Action as to Sumitomo shall be final; and

(f) providing that (i) the Court's certification of the Settlement Classes is without prejudice to, or waiver of, the rights of any Defendant, including Sumitomo, to contest certification of any other class proposed in the MDL Litigation, (ii) the Court's findings in this Order shall have no effect on the Court's ruling on any motion to certify any class in the MDL Litigation or on the Court's rulings concerning any Defendant's motion; and (iii) no party may cite or refer to the Court's approval of the Settlement Classes as persuasive or binding authority with respect to any motion to certify any such class or any Defendant's motion.

19. This Agreement shall become final when (i) the Court has entered in each of the Actions a final order certifying the Settlement Classes described in Paragraph 11 and approving this Agreement under Federal Rule of Civil Procedure 23(e) and has entered a final judgment in each of the Actions dismissing the Actions with prejudice as to Sumitomo and without costs other than those provided for in this Agreement, and (ii) the time for appeal or to seek permission to appeal from the Court's approval of this Agreement and entry of a final judgment as to

Sumitomo described in (i) hereof has expired in each of the Actions or, if appealed, approval of this Agreement and the final judgment in each of the Actions as to Sumitomo have been affirmed in their entirety by the Court of last resort to which such appeal has been taken and such affirmance has become no longer subject to further appeal or review. It is agreed that the provisions of Rule 60 of the Federal Rules of Civil Procedure shall not be taken into account in determining the above-stated times. On the date that End-Payor Plaintiffs and Sumitomo have executed this Agreement, End-Payor Plaintiffs and Sumitomo shall be bound by its terms and this Agreement shall not be rescinded except in accordance with Paragraphs 24(h) or 45 of this Agreement.

20. Neither this Agreement (whether or not it should become final) nor the final judgment, nor any and all negotiations, documents and discussions associated with them (including Cooperation Materials produced pursuant to Paragraphs 34-38), shall be deemed or construed to be an admission by Sumitomo, or evidence of any violation of any statute or law or of any liability or wrongdoing whatsoever by Sumitomo, or of the truth of any of the claims or allegations contained in any complaint or any other pleading filed in the MDL Litigation, and evidence thereof shall not be discoverable or used in any way, whether in the MDL Litigation, or any other arbitration, action or proceeding whatsoever, against Sumitomo. Nothing in this Paragraph shall prevent End-Payor Plaintiffs from using and/or introducing into evidence Cooperation Materials produced pursuant to Paragraphs 34-38, subject to the limitations in those paragraphs, against any other defendants in the MDL Litigation, to establish any of the above, subject to the terms and conditions set forth in the Protective Orders in the Actions, except as stated in Paragraph 38(f). Neither this Agreement, nor any of its terms and provisions, nor any of the negotiations or proceedings connected with it, nor any other action taken to carry out this

Agreement by Sumitomo, shall be referred to, offered as evidence or received in evidence in any pending or future civil, criminal, or administrative action, arbitration, or proceedings, except in a proceeding to enforce this Agreement, or to defend against the assertion of Released Claims, or as otherwise required by law.

C. Release, Discharge, and Covenant Not to Sue.

21. In addition to the effect of any final judgment entered in accordance with this Agreement, upon this Agreement becoming final, as set out in Paragraph 19 of this Agreement, and in consideration of payment of the Settlement Amount, as specified in Paragraph 23 of this Agreement, into the Settlement Funds, and for other valuable consideration, the Releasees shall be completely released, acquitted, and forever discharged from any and all claims, demands, actions, suits, causes of action, whether class, individual, or otherwise in nature (whether or not any Settlement Class Member has objected to the settlement or makes a claim upon or participates in the Settlement Funds, whether directly, representatively, derivatively or in any other capacity) under any federal, state or local law of any jurisdiction in the United States, that Releasors, or each of them, ever had, now has, or hereafter can, shall, or may ever have, that now exist or may exist in the future, on account of, or in any way arising out of, any and all known and unknown, foreseen and unforeseen, suspected or unsuspected, actual or contingent, liquidated or unliquidated claims, injuries, damages, and the consequences thereof in any way arising out of or relating in any way to any conduct alleged in the Complaints or any act or omission of the Releasees (or any of them), concerning Automotive Wire Harness Systems or HCPs, including but not limited to any conduct and causes of action alleged or asserted or that could have been alleged or asserted, in any class action or other complaints filed in the Actions (the "Released Claims"), provided however, that nothing herein shall release: (1) any claims made by direct purchasers of Automotive Wire Harness Systems and/or HCPs as to such direct

purchasers; (2) any claims made by automotive dealerships that are indirect purchasers of Automotive Wire Harness Systems and/or HCPs; (3) any claims made by any state, state agency, or instrumentality or political subdivision of a state as to government purchases and/or penalties; (4) claims involving any negligence, personal injury, breach of contract, bailment, failure to deliver lost goods, damaged or delayed goods, product defect, securities or similar claim relating to Automotive Wire Harness Systems and/or HCPs; (5) claims concerning any automotive part other than Automotive Wire Harness Systems or HCPs; (6) claims under laws other than those of the United States relating to purchases of Automotive Wire Harness Systems and/or HCPs made outside of the United States; and (7) claims for damages under the state or local laws of any jurisdiction other than an Indirect Purchaser State. Releasors shall not, after the date of this Agreement, seek to establish liability against any Releasee as to, in whole or in part, any of the Released Claims.

22. In addition to the provisions of Paragraph 21 of this Agreement, Releasors hereby expressly waive and release, upon this Agreement becoming final, as set out in Paragraph 19 of this Agreement, any and all provisions, rights, and benefits, as to their claims concerning Automotive Wire Harness Systems and HCPs conferred by § 1542 of the California Civil Code, which states:

CERTAIN CLAIMS NOT AFFECTED BY GENERAL RELEASE. A GENERAL RELEASE DOES NOT EXTEND TO CLAIMS WHICH THE CREDITOR DOES NOT KNOW OR SUSPECT TO EXIST IN HIS FAVOR AT THE TIME OF EXECUTING THE RELEASE, WHICH IF KNOWN BY HIM MUST HAVE MATERIALLY AFFECTED HIS SETTLEMENT WITH THE DEBTOR;

or by any law of any state or territory of the United States, or principle of common law, which is similar, comparable, or equivalent to § 1542 of the California Civil Code. Each Releasor may hereafter discover facts other than or different from those which he, she, or it knows or

believes to be true with respect to the claims which are released pursuant to the provisions of Paragraph 21 of this Agreement, but each Releasor hereby expressly waives and fully, finally, and forever settles and releases, upon this Agreement becoming final, any known or unknown, suspected or unsuspected, contingent or non-contingent claim that Sumitomo and End-Payor Plaintiffs have agreed to release pursuant to Paragraph 21, whether or not concealed or hidden, without regard to the subsequent discovery or existence of such different or additional facts.

D. Settlement Amount.

23. Subject to the provisions hereof, and in full, complete and final settlement of the Actions as provided herein, Defendant SEI, on behalf of Sumitomo, shall pay the Settlement Amount of US \$38,000,000 (the "Settlement Amount"). The Settlement Amount shall be paid into escrow accounts in United States Dollars to be administered in accordance with the provisions of Paragraph 24 of this Agreement (the "Escrow Accounts") within thirty (30) days following entry of an order preliminarily approving this Agreement.

24. Escrow Accounts.

(a) The Escrow Accounts will be established at Wells Fargo & Company with such Bank serving as escrow agent ("Escrow Agent") subject to escrow instructions mutually acceptable to Settlement Class Counsel and Sumitomo, such escrow to be administered by the Escrow Agent under the Court's continuing supervision and control.

(b) The Escrow Agent shall cause the funds deposited in the Escrow Accounts to be invested in short-term instruments backed by the full faith and credit of the United States Government or fully insured in writing by the United States Government, or money market funds rated Aaa and AAA, respectively by Moody's Investor Services and Standard and Poor's, invested substantially in such instruments, and shall reinvest any

income from these instruments and the proceeds of these instruments as they mature in similar instruments at their then current market rates.

(c) All funds held in the Escrow Accounts shall be deemed and considered to be in *custodia legis* of the Court, and shall remain subject to the jurisdiction of the Court, until such time as such funds shall be distributed pursuant to this Agreement and/or further order(s) of the Court.

(d) End-Payor Plaintiffs and Sumitomo agree to treat the Settlement Funds as being at all times qualified settlement funds within the meaning of Treas. Reg. § 1.468B-1. In addition, the Escrow Agent shall timely make such elections as necessary or advisable to carry out the provisions of this Paragraph 24, including the relation-back election (as defined in Treas. Reg. § 1.468B-1) back to the earliest permitted date. Such elections shall be made in compliance with the procedures and requirements contained in such regulations. It shall be the responsibility of the Escrow Agent to timely and properly prepare and deliver the necessary documentation for signature by all necessary parties, and thereafter to cause the appropriate filing to occur.

(e) For the purpose of § 468B of the Internal Revenue Code of 1986, as amended, and the regulations promulgated thereunder, the administrator shall be Settlement Class Counsel. Settlement Class Counsel shall timely and properly file all information and other tax returns necessary or advisable with respect to the Settlement Funds (including without limitation the returns described in Treas. Reg. § 1.468B-2(k)(1)). Such returns (as well as the election described in Paragraph 24(d)) shall be consistent with Paragraph 24(d) and in all events shall reflect that all Taxes, as defined below (including any estimated Taxes,

interest or penalties), on the income earned by the Settlement Funds shall be paid out of the Settlement Funds as provided in Paragraph 24(f) hereof.

(f) All (i) taxes (including any estimated taxes, interest or penalties) arising with respect to the income earned by the Settlement Funds, including any taxes or tax detriments that may be imposed upon Sumitomo or any other Releasee with respect to any income earned by the Settlement Funds for any period during which the Settlement Funds do not qualify as qualified settlement funds for federal or state income tax purposes (“Taxes”); and (ii) expenses and costs incurred in connection with the operation and implementation of Paragraphs 24(d) through 24(f) (including, without limitation, expenses of tax attorneys and/or accountants and mailing and distribution costs and expenses relating to filing (or failing to file) the returns described in Paragraph 24(e) (“Tax Expenses”)), shall be paid out of the Settlement Funds.

(g) Neither Sumitomo nor any other Releasee nor their respective counsel shall have any liability or responsibility for the Taxes or the Tax Expenses. Further, Taxes and Tax Expenses shall be treated as, and considered to be, a cost of administration of the Settlement Funds and shall be timely paid by the Escrow Agent out of the Settlement Funds without prior order from the Court and the Escrow Agent shall be obligated (notwithstanding anything herein to the contrary) to withhold from distribution to any claimants authorized by the Court any funds necessary to pay such amounts including the establishment of adequate reserves for any Taxes and Tax Expenses (as well as any amounts that may be required to be withheld under Treas. Reg. § 1.468B-2(1)(2)). Sumitomo shall not be responsible or have any liability therefor. End-Payor Plaintiffs and Sumitomo agree to cooperate with the



Escrow Agent, each other, and their tax attorneys and accountants to the extent reasonably necessary to carry out the provisions of Paragraphs 24(d) through 24(f).

(h) If this Agreement does not receive final Court approval, including final approval of the Settlement Classes as defined in Paragraph 11, or if the Actions are not certified as class actions for settlement purposes, then all amounts paid by Sumitomo into the Settlement Funds (other than costs expended or incurred in accordance with Paragraph 27), shall be returned to Sumitomo from the Escrow Account by the Escrow Agent along with any interest accrued thereon within thirty (30) calendar days of the Court's denial of final approval of the Agreement and/or Settlement Classes.

25. Injunctive Relief.

Subject to the provisions hereof, and in full, complete and final settlements of the Actions as provided herein, Sumitomo further agrees that it is enjoined for a period of 24 months from the date of the entry of final judgment from engaging in conduct that constitutes a per se violation of Section 1 of the Sherman Act (whether characterized as price fixing, market allocation, bid rigging, or otherwise) with respect to the sale of any Automotive Wire Harness System or HCP.

26. Exclusions.

Within ten (10) business days after the Opt-Out Deadline, Settlement Class Counsel will cause copies of timely requests for exclusion from the Settlement Classes to be provided to counsel for Sumitomo. With respect to any potential Settlement Class Member who requests exclusion from the Settlement Classes, Sumitomo reserves all of its legal rights and defenses, including, but not limited to, any defenses relating to whether the excluded Settlement Class Member is an indirect purchaser of any allegedly price-fixed Automotive Wire Harness Systems or HCPs and/or has standing to bring any claim.

27. Payment of Expenses.

(a) Sumitomo agrees to permit use of a portion of the Settlement Funds towards notice to the Settlement Classes and the costs of administration of the Settlement Funds. The notice and administration expenses are not recoverable if this settlement does not become final or is terminated to the extent such funds have actually been expended or incurred for notice and administration costs. Other than as set forth in this Paragraph 27 and Paragraph 38, Sumitomo shall not be liable for any of the costs or expenses of the litigation of the Actions, including attorneys' fees, fees and expenses of expert witnesses and consultants, and costs and expenses associated with discovery, motion practice, hearings before the Court or Special Master, appeals, trials or the negotiation of other settlements, or for class administration and costs.

(b) In order to mitigate the costs of notice and administration, the End-Payor Plaintiffs shall use their best efforts, if practicable, to disseminate notice with any other settlements reached with Sumitomo or other defendants in the MDL Litigation and to apportion the costs of notice and administration on a pro rata basis across the applicable settlements.

E. The Settlement Funds.

28. Releasors' sole recourse for settlement and satisfaction against the Releasees of all Released Claims is against the Settlement Funds, and Releasors shall have no other recovery against Sumitomo or any other Releasee.

29. After this Agreement becomes final within the meaning of Paragraph 19, the Settlement Funds shall be distributed in accordance with a plan to be submitted to the Court at the appropriate time by Settlement Class Counsel, subject to approval by the Court. In no event shall any Releasee have any responsibility, financial obligation, or liability whatsoever with

respect to the investment, distribution, or administration of the Settlement Fund, including, but not limited to, the costs and expenses of such distribution and administration except as expressly otherwise provided in Paragraph 27 of this Agreement.

30. End-Payor Plaintiffs and Settlement Class Counsel shall be reimbursed and indemnified solely out of the Settlement Funds for all expenses and costs, as provided by Court Order. Sumitomo and the other Releasees shall not be liable for any costs, fees, or expenses of any of End-Payor Plaintiffs or the Settlement Classes' respective attorneys, experts, advisors, agents, or representatives, but all such costs, fees, and expenses as approved by the Court shall be paid out of the Settlement Fund.

31. Settlement Class Counsel's Attorneys' Fees, Reimbursement of Expenses, and Incentive Awards for Class Representatives.

(a) Settlement Class Counsel may submit an application or applications to the Court (the "Fee and Expense Application") for: (i) an award of attorneys' fees not in excess of one-third of the Settlement Funds; plus (ii) reimbursement of expenses and costs incurred in connection with prosecuting the Actions and incentive awards, plus interest on such attorneys' fees, costs and expenses at the same rate and for the same period as earned by the Settlement Fund (until paid) as may be awarded by the Court (the "Fee and Expense Award"). Settlement Class Counsel reserve the right to make additional applications for Court approval of fees and expenses incurred and reasonable incentive awards, but in no event shall Sumitomo or any other Releasees be responsible to pay any such additional fees and expenses except to the extent they are paid out of the Settlement Fund.

(b) Subject to Court approval, End-Payor Plaintiffs and Settlement Class Counsel shall be reimbursed and paid solely out of the Settlement Fund for all expenses

including, but not limited to, attorneys' fees and past, current, or future litigation expenses and incentive awards. Attorneys' fees and expenses awarded by the Court shall be payable from the Settlement Funds upon award, notwithstanding the existence of any timely filed objections thereto, or potential appeal therefrom, or collateral attack on the settlement or any part thereof, subject to Settlement Class Counsel's obligation to make appropriate refunds or repayments to the Settlement Fund with interest, if and when, as a result of any appeal and/or further proceedings on remand, or successful collateral attack, the fee or award of expenses is reduced or reversed, or in the event the Agreement is rescinded or terminated pursuant to Paragraph 24(h) or Paragraph 45.

(c) The procedure for and the allowance or disallowance by the Court of the application by Settlement Class Counsel for attorneys' fees, costs and expenses, and incentive awards for class representatives to be paid out of the Settlement Funds are not part of this Agreement, and are to be considered by the Court separately from the Court's consideration of the fairness, reasonableness and adequacy of the Settlement, and any order or proceeding relating to the Fee and Expense Application, or any appeal from any such order shall not operate to terminate or cancel this Agreement, or affect or delay the finality of the judgment approving the settlement.

(d) Neither Sumitomo nor any other Releasee under this Agreement shall have any responsibility for, or interest in, or liability whatsoever with respect to any payment to Settlement Class Counsel and/or End-Payor Plaintiffs of any Fee and Expense Award in the Actions.

(e) Neither Sumitomo nor any other Releasee under this Agreement shall have any responsibility for, or interest in, or liability whatsoever with respect to the

allocation among Settlement Class Counsel, End-Payor Plaintiffs and/or any other person who may assert some claim thereto, of any Fee and Expense Award that the Court may make in the Actions.

F. Cooperation.

32. Sumitomo has provided cooperation, in the form of attorney proffers and witness interviews, to End-Payor Plaintiffs in the Actions.

33. In return for the release and discharge provided herein, Sumitomo agrees to pay the Settlement Amount and be bound by the injunction described in Paragraph 25, and further agrees to use its best efforts to continue to provide satisfactory and timely Cooperation, as set forth specifically in Paragraphs 34-38 below, until the later of the entry of the final judgment or judgments with respect to all of the remaining defendants in the End-Payor Plaintiff Automotive Wire Harness Systems and HCP cases or dismissal with prejudice of those defendants and when such judgments or dismissals become “final” within the meaning of Paragraph 19. Cooperation will take place consistent with the timing set forth specifically in Paragraphs 34-38 below, and in a manner that is in compliance with Sumitomo’s obligations to any Government Entities (defined as the United States Department of Justice, the Japanese Fair Trade Commission, the European Commission, or any other government entity). All Cooperation shall be coordinated, to the extent reasonably practicable, with the settlement class counsel for the automobile dealerships (“Automobile Dealership Settlement Class Counsel”) in the actions captioned Master File No. 12-md-02311, Case No. 12-cv-00102 and Case No. 12-cv-00402 so as to avoid all unnecessary duplication and expense.

34. Within five (5) business days after Preliminary Approval, counsel for Sumitomo shall provide Settlement Class Counsel with the identity of all current and former employees, directors and officers of Sumitomo who: (1) were interviewed by any Government Entities in

connection with alleged price-fixing, bid rigging, market allocation, and/or other unlawful anticompetitive activity concerning the sale of Automotive Wire Harness Systems or HCPs in the United States or for vehicles that were sold in the United States; and/or (2) appeared before the grand jury in the DOJ's investigation into alleged antitrust violations with respect to Automotive Wire Harness Systems or HCPs. Neither Sumitomo nor Counsel for Sumitomo shall be required to disclose to Settlement Class Counsel the specific Government Entities to which each such current or former employee, director or officer of Sumitomo appeared before.

35. Except as set forth therein, and to the extent not already produced, Sumitomo will use its best efforts to substantially complete the production of the following Documents in Sumitomo's possession, custody or control, set forth in subparagraphs (a)-(g) no later than February 1, 2016. Sumitomo is under no obligation to produce documents protected by the work-product doctrine, the attorney-client privilege or any other privilege or doctrine protecting disclosure or documents where production is prohibited by the relevant antitrust agencies, the law of relevant foreign jurisdictions, court and/or protective order:

(a) Documents relevant to the claims alleged in the Complaints or that relate to or concern an actual or potential communication, meeting, or agreement between Sumitomo and one or more of its competitors, regarding Automotive Wire Harness Systems and/or HCPs to the extent that such Documents exist in the files of the 69 custodians listed at Appendix A and are dated between January 1, 1998 and October 31, 2011, or to the extent such documents have been identified in any proffers by attorneys and/or Sumitomo witnesses.

(b) All documents produced to Government Entities pursuant to a formal request for documents in connection with investigations of price-fixing, bid rigging, and

market allocation of Automotive Wire Harness Systems and HCPs, and all documents produced to the DOJ pursuant to a formal or informal request for documents, and including, for both categories, all English translations of such documents provided to those Government Entities, as of the Execution Date of this Agreement. Sumitomo shall not be required to disclose to Settlement Class Counsel the specific Government Entities to which such documents were provided.

(c) Documents concerning Sumitomo's determinations of its prices for Automotive Wire Harness Systems and HCPs that it sells, including pricing policies, formulas and guidelines, including Documents concerning the relationship between prices charged or submitted to different OEMs or to the same OEM for different models to the extent that such Documents exist in the files of the 69 custodians listed at Appendix A and are dated between January 1, 1998 and October 31, 2011, or to the extent such documents have been identified in any proffers by attorneys and/or Sumitomo witnesses.

(d) Documents concerning Automotive Wire Harness Systems or HCPs that were collected and reviewed in connection with Sumitomo's internal investigation but were not provided to or seized by Government Entities and that are relevant to the claims and allegations in the Complaints to the extent that such Documents exist in the files of the 69 custodians listed at Appendix A and are dated between January 1, 1998 and October 31, 2011, or to the extent such documents have been identified in any proffers by attorneys and/or Sumitomo witnesses.

(e) Documents showing how employees were trained or instructed to bid and set prices submitted to purchasers or potential purchasers, for products comprising Automotive Wire Harness Systems and/or HCPs, in RFQs, or any other procurement process,

including documents stating the lowest bid or price employees were authorized to submit, how to determine the lowest allowable bid or price, and when and how to increase or decrease a proposed bid or price to the extent that such Documents exist in the files of the 69 custodians listed at Appendix A and are dated between January 1, 1998 and October 31, 2011, or to the extent such documents have been identified in any proffers by attorneys and/or Sumitomo witnesses.

(f) Transactional data concerning sales of Automotive Wire Harness Systems to Original Equipment Manufacturers (“OEMs”) or other purchasers of Automotive Wire Harness Systems and HCPs (“Transactional Data”) from January 1, 1997 to two years from the Execution Date of this Agreement, including the following information (to the extent that it exists): (1) the date for each sale; (2) the final price of each sale; (3) the purchaser to whom each sale was made; (4) the model, model year(s) and brand of car for which each sale was made, as well as the country of sale of said cars; (5) the total amount of Automotive Wire Harness Systems sold in each sale; (6) the location where each sale was made; (7) the Sumitomo entity which made each sale;; (8) value engineering and/or other price adjustment made to the Automotive Wire Harness Systems sold in each sale; (9) any ancillary costs associated with each sale such as tooling costs; (10) Sumitomo’s profits, losses and margins on the products comprising Automotive Wire Harness Systems and other reasonably available financial information, *e.g.* balance sheets and ledger data; (11) data showing Sumitomo’s costs to produce the products comprising Automotive Wire Harness Systems; and (12) product description and identification information (including codes, identifiers, and/or part numbers). To the extent Sumitomo has not recorded or maintained electronic transaction data for any period between January 1, 1997 and two years after the



Execution Date, then Sumitomo will use reasonable efforts to produce records of those sales transactions not recorded or maintained electronically in the existing electronic sales transaction databases. Additionally, Sumitomo will provide to End-Payor Plaintiffs any later-generated electronic transactional data that is provided to plaintiffs in any other case involving Automotive Wire Harness Systems and HCPs claims in the Automotive Parts Litigation, 12-md-02311. Notwithstanding any other provision in this Agreement, Settlement Class Counsel agrees that it shall maintain all data that Sumitomo will produce pursuant to the terms of the Protective Order. Sumitomo will also answer reasonable follow up questions about its transactional data.

(g) Documents concerning bids submitted to OEMs or other purchasers of Automotive Wire Harness Systems and/or HCPs related to the provision of Automotive Wire Harness Systems and/or HCPs, including the following information (1) the date for each bid; (2) the price submitted in each bid; (3) bids formulated but not submitted due to agreements or understandings with co-conspirators; (4) the purchaser to whom each bid was submitted; (5) the model, model year(s) and brand of car for which each bid was submitted; (6) the location where each bid was submitted; (7) the Sumitomo entity which submitted each bid; (8) the identity of any other bids submitted by competitors, including each winning bid; (9) the specifications for each bid; and (10) adjustments made to each bid as it was being formulated, to the extent that such Documents exist in the files of the 69 custodians listed at Appendix A and are dated between January 1, 1998 and October 31, 2011. Sumitomo also agrees to produce the categories of Documents described in this paragraph for those car models that Sumitomo has identified in their responses to the Direct Purchaser Plaintiffs'

Interrogatory No. 8, to the extent that such Documents exist in the files of the 69 custodians listed at Appendix A and are dated between January 1, 1998 and December 31, 2013.

36. For all Documents withheld from production pursuant to (1) the attorney-client privilege; (2) the work-product doctrine; (3) a protective order, or (4) any other applicable privilege or doctrine protecting documents from disclosure, Sumitomo shall provide a privilege log, to the extent it already exists or comes into existence as a result of the MDL Litigation or otherwise, describing such Documents in sufficient detail as to explain the nature of the privilege asserted or the basis of any other law or rule protecting such Documents. No Document shall be withheld under a claim of privilege if it was intentionally produced to any Government Entity. If any Document protected by the attorney-client privilege, the work-product protection or any other privilege is accidentally or inadvertently produced to Settlement Class Counsel, upon notice by Sumitomo of such inadvertent production, the Document shall promptly be destroyed and/or returned to Sumitomo, the Document shall not be used by Settlement Class Counsel for any purpose, and its production shall in no way be construed to have waived any privilege or protection attached to such Document. This Agreement, together with the Protective Order in each of the Actions, brings any inadvertent production by Sumitomo within the protections of Federal Rule of Evidence 502(d), and Settlement Class Counsel will not argue that production to any person or entity made at any time suggests otherwise. Any dispute regarding any claim of privilege shall be resolved by motion to the Court under Paragraph 52 of this Agreement.

37. In the event that Sumitomo produces Documents or provides declarations or written responses to discovery to any opposing party in the Actions or in the other Automotive Wire Harness Systems and HCP cases (a "Relevant Production"), Sumitomo shall produce all such Documents, declarations or written discovery responses to Settlement Class Counsel

contemporaneously with making the Relevant Production to the extent such Documents, declarations or written discovery responses have not previously been produced by Sumitomo to Settlement Class Counsel. This Agreement does not restrict Settlement Class Counsel from attending and/or participating in any depositions in the Actions or in the other Automotive Wire Harness Systems and HCPs cases. Sumitomo will not object to Settlement Class Counsel and Automobile Dealership Settlement Class Counsel attending and/or participating in depositions of Sumitomo witnesses to the extent Settlement Class Counsel and Automobile Dealership Settlement Class Counsel participation does not expand the time allotted for the deposition pursuant to applicable stipulations or orders in the MDL Litigation.

38. Additionally, Sumitomo shall use its best efforts to cooperate with Settlement Class Counsel as set forth in Paragraphs 38(a)-(f) below. Any attorney proffers, witness interviews, or depositions provided pursuant to the below obligations shall be coordinated with, and occur at the same time as, the attorney proffers, witness interviews, and depositions to be provided in contemporaneous settlements of indirect purchaser claims entered into by Sumitomo in the MDL Litigation and any related obligations that may arise from any other settlement.

(a) Sumitomo's counsel has already provided attorney proffers. Sumitomo's counsel agrees to make themselves available for reasonable follow-up questions from and coordinated between Settlement Class Counsel and Automobile Dealership Settlement Class Counsel. Notwithstanding any other provision in this Agreement, Settlement Class Counsel shall maintain all statements made by Sumitomo's counsel as "Highly Confidential," as said designation is described in the Protective Order in the Action, and shall not use the information so received for any purpose other than the prosecution of the Automotive Wire Harness Systems claims in Automotive Parts Antitrust Litigation, 12-

md-02311. The parties and their counsel further agree that any statements made by Sumitomo's counsel in connection with and/or as part of this settlement, including the attorney's proffer(s) referred shall not be disclosed to any other party and shall be governed by Federal Rule of Evidence 408 and, otherwise, shall not be deemed admissible into evidence or to be subject to further discovery. Notwithstanding anything herein, Settlement Class Counsel may use information contained in such statements in the prosecution of the Automotive Wire Harness Systems claims in the Automotive Parts Antitrust Litigation, 12-md-02311, and rely on such information to certify that, to the best of Settlement Class Counsel's knowledge, information and belief, such information has evidentiary support or will likely have evidentiary support after a reasonable opportunity for further investigation or discovery.

(b) During the course of the Actions, Sumitomo has permitted Settlement Class Counsel and the Automobile Dealership Settlement Class Counsel to interview eight (8) persons who possess knowledge of facts and information that would reasonably assist End-Payor Plaintiffs in the prosecution of the Actions. Sumitomo shall make 4 additional persons whom Settlement Class Counsel reasonably and in good faith believe possess knowledge of facts or information that would reasonably assist End-Payor Plaintiffs in the prosecution of the MDL Litigation, and who may consist of current or former directors, officers, and/or employees of Sumitomo, available for interviews. The eight persons who have been interviewed and the additional 4 persons who are interviewed are herein referred to as "Witnesses." Upon reasonable notice by Settlement Class Counsel, Sumitomo shall use its best efforts to make available by telephone the persons who have been or are in the future

interviewed to answer follow-up questions for a reasonable time. The interviews will take place at a mutually agreeable location.

(c) Upon reasonable joint notice by Settlement Class Counsel and Automobile Dealership Settlement Class Counsel, Sumitomo shall use its best efforts, at Settlement Class Counsel and Automobile Dealership Settlement Class Counsel's joint request, to make appear for deposition (i) a total of up to five (5) persons who Settlement Class Counsel and Automobile Dealership Settlement Class Counsel together select from among the Witnesses, who will have knowledge of relevant facts and will be fully prepared to testify truthfully, and to provide (ii) a total of up to five (5) declarations/affidavits from among the same Witnesses. Each deposition shall be conducted at a mutually agreed-upon location, and shall each be limited to a total of seven (7) hours. To the extent that the person to be deposed requests an interpreter, the deposition shall be limited to a total of twelve (12) hours, over two days. Written notice by Settlement Class Counsel and Automobile Dealership Settlement Class Counsel to Sumitomo's counsel shall constitute sufficient service of notice for such depositions. If Settlement Class Counsel and Automobile Dealership Settlement Class Counsel request declarations/affidavits, such affidavits and declarations will be provided in English.

(d) Upon reasonable notice, Sumitomo shall make its best efforts to provide, for trial testimony, if necessary, a reasonable number of Sumitomo persons from among the Witnesses or other persons who have been interviewed or deposed in the MDL Litigation, which may consist of current or former directors, officers, and/or employees of Sumitomo whom Settlement Class Counsel, in consultation with counsel for Sumitomo, reasonably and in good faith believe possess knowledge of facts or information that would

reasonably assist End-Payor Plaintiffs as a trial witness in the Actions, and who will be prepared to testify truthfully. Settlement Class Counsel shall reimburse Sumitomo for reasonable travel expenses, not to exceed the cost of coach air travel fare and \$250 per day, incurred by any such person in connection with their trial testimony, but in no event shall Settlement Class Counsel be responsible for reimbursing such persons for time or services rendered.

(e) In addition to its Cooperation obligations set forth herein, Sumitomo agrees to produce through affidavit(s) or declaration(s) and/or at trial, in Settlement Class Counsel's discretion, representatives qualified to authenticate, establish as business records, or otherwise establish any other necessary foundation for admission into evidence of any of Sumitomo's Documents and Transactional Data produced or to be produced, and to the extent possible, any Documents produced by Defendants or third-parties in the Actions. Settlement Class Counsel agree to use their best efforts to obtain stipulations that would avoid the need to call Sumitomo witnesses at trial for the purpose of obtaining such evidentiary foundations.

(f) End-Payor Plaintiffs and Settlement Class Counsel agree they will not use the information provided by Sumitomo or the other Releasees or their representatives under this Agreement for any purpose other than the prosecution of claims in the MDL Litigation, and will use it in the Actions and any other case in the MDL Litigation consistent with the Protective Orders, and will not use it beyond what is reasonably necessary for the prosecution of claims in the MDL Litigation or as otherwise required by law. All Documents and other information provided pursuant to this Agreement shall be governed by the terms of the Protective Order.

39. To the extent that End-Payor Plaintiffs learn new information through Sumitomo's Cooperation pursuant to Paragraphs 35-38 above or other sources that End-Payor Plaintiffs believe in good faith requires additional interviews, depositions, documents or data, nothing in this Agreement shall prevent End-Payor Plaintiffs from requesting such additional reasonable Cooperation, and Sumitomo agrees not to unreasonably deny such additional reasonable Cooperation.

40. Sumitomo's obligations to provide Cooperation shall not be affected by the releases set forth in this Settlement Agreement. Unless this Agreement is rescinded, disapproved, or otherwise fails to take effect, Sumitomo's obligations to provide Cooperation under this Agreement shall continue only until otherwise ordered by the Court, the later of the entry of the final judgment or judgments with respect to all of the remaining defendants in the End-Payor Plaintiff Automotive Wire Harness Systems and HCP cases or dismissal with prejudice of those defendants and when such judgments or dismissals become "final" within the meaning of Paragraph 19.

41. In the event that this Agreement fails to receive final approval by the Court as contemplated in Paragraphs 15-19 hereof, including final approval of the Settlement Classes as defined in Paragraph 11, or in the event that it is terminated by either party under any provision herein, the parties agree that neither End-Payor Plaintiffs nor Settlement Class Counsel shall be permitted to introduce into evidence against Sumitomo, at any hearing or trial, or in support of any motion, opposition or other pleading in the Actions or in any other federal or state or foreign action alleging a violation of any law relating to the subject matter of the Actions, any deposition testimony or any documents provided by Sumitomo and/or the other Releasees, their counsel, or any individual made available by Sumitomo pursuant to Cooperation (as opposed to from any

other source or pursuant to a court order). This limitation shall not apply to any discovery of Sumitomo which Settlement Class Counsel participate in as part of the MDL Litigation. Notwithstanding anything contained herein, End-Payor Plaintiffs and the Settlement Classes are not relinquishing any rights to pursue discovery against Sumitomo in the event that this Agreement fails to receive final approval by the Court as contemplated in Paragraphs 15-19 hereof, including final approval of the Settlement Classes as defined in Paragraph 11, or in the event that it is terminated by either party under any provision herein.

42. Sumitomo need not respond to discovery requests made pursuant to the Federal Rules of Civil Procedure from End-Payor Plaintiffs, meet and confer or otherwise negotiate with End-Payor Plaintiffs regarding discovery requests previously served in the Actions or otherwise participate in the Actions during the pendency of the Agreement. Other than to enforce the terms of this Agreement, neither Sumitomo nor End-Payor Plaintiffs shall file motions against the other, in the Actions, during the pendency of the Agreement.

43. Sumitomo, End-Payor Plaintiffs, and Settlement Class Counsel agree not to disclose publicly or to any other person the terms of this Agreement until this Agreement is fully executed by all parties.

44. If Settlement Class Counsel believe that Sumitomo or any current or former employee, officer or director of Sumitomo has failed to cooperate under the terms of this Agreement, Settlement Class Counsel may seek an Order from the Court compelling such cooperation. Nothing in this provision shall limit in any way Sumitomo's ability to defend the level of Cooperation it has provided or to defend its compliance with the terms of the Cooperation provisions in this Agreement.



G. Rescission if this Agreement is Not Approved or Final Judgment is Not Entered.

45. If the Court refuses to approve this Agreement or any part hereof, including if the Court does not certify the Settlement Classes in accordance with the specific Settlement Class definitions set forth in this Agreement, or if such approval is modified or set aside on appeal, or if the Court does not enter the final judgments provided for in Paragraph 19 of this Agreement, or if the Court enters the final judgments and appellate review is sought, and on such review, such final judgments are not affirmed in its entirety, then Sumitomo and End-Payor Plaintiffs shall each, in their sole discretion, have the option to rescind this Agreement in its entirety. Written notice of the exercise of any such right to rescind shall be made according to the terms of Paragraph 57. A modification or reversal on appeal of any amount of Settlement Class Counsel's fees and expenses awarded by the Court from the Settlement Funds shall not be deemed a modification of all or a part of the terms of this Agreement or such final judgment.

46. In the event that this Agreement does not become final as set forth in Paragraph 19, or this Agreement otherwise is terminated pursuant to Paragraph 45, then this Agreement shall be of no force or effect and any and all parts of the Settlement Funds caused to be deposited in the Escrow Accounts (including interest earned thereon) shall be returned forthwith to Sumitomo less only disbursements made in accordance with Paragraph 27 of this Agreement. Sumitomo expressly reserves all rights and defenses if this Agreement does not become final.

47. Further, and in any event, End-Payor Plaintiffs and Sumitomo agree that this Agreement, whether or not it shall become final, and any and all negotiations, documents, and discussions associated with it, shall not be deemed or construed to be an admission or evidence of (i) any violation of any statute or law or of any liability or wrongdoing whatsoever by Sumitomo, or the other Releasees to be used against Sumitomo, or of (ii) the truth of any of the claims or allegations contained in the Complaint or any other pleading filed in the MDL

Litigation, to be used against Sumitomo, and evidence thereof shall not be discoverable or used in any way, in the MDL Litigation, against Sumitomo. Nothing in this Paragraph shall prevent End-Payor Plaintiffs from using Cooperation Materials produced by Sumitomo against any other defendants in any actions to establish any of the above.

48. This Agreement shall be construed and interpreted to effectuate the intent of the parties, which is to provide, through this Agreement, for a complete resolution of the relevant claims with respect to each Releasee as provided in this Agreement as well as Cooperation by Sumitomo.

49. The parties to this Agreement contemplate and agree that, prior to final approval of the settlement as provided for in Paragraphs 15-19 hereof, appropriate notice 1) of the settlement; and 2) of a hearing at which the Court will consider the approval of this Agreement, will be given to the Settlement Classes.

H. Miscellaneous.

50. Sumitomo shall submit all materials required to be sent to appropriate Federal and State officials pursuant to the Class Action Fairness Act of 2005, 28 U.S.C. § 1715.

51. This Agreement does not settle or compromise any claim by End-Payor Plaintiffs or any Settlement Class Member asserted in the Complaints or, if amended, any subsequent complaint, against any Defendant or alleged co-conspirator other than Sumitomo and the other Releasees. All rights against such other Defendants or alleged co-conspirators are specifically reserved by End-Payor Plaintiffs and the Settlement Classes. All rights of any Settlement Class Member against any and all former, current, or future Defendants or co-conspirators or any other person other than Sumitomo and the other Releasees, for sales made by Sumitomo and Sumitomo's alleged illegal conduct are specifically reserved by End-Payor Plaintiffs and Settlement Class Members. Sumitomo's sales to the class and its alleged illegal conduct shall, to

the extent permitted or authorized by law, remain in the Actions as a potential basis for damage claims and shall be part of any joint and several liability claims against other current or future Defendants in the Actions or other persons or entities other than Sumitomo and the other Releasees. Sumitomo shall not be responsible for any payment to End-Payor Plaintiffs other than the amount specifically agreed to in Paragraph 23 of this Agreement.

52. The United States District Court for the Eastern District of Michigan shall retain jurisdiction over the implementation, enforcement, and performance of this Agreement, and shall have exclusive jurisdiction over any suit, action, proceeding, or dispute arising out of or relating to this Agreement or the applicability of this Agreement that cannot be resolved by negotiation and agreement by End-Payor Plaintiffs and Sumitomo, including challenges to the reasonableness of any party's actions. This Agreement shall be governed by and interpreted according to the substantive laws of the state of Michigan without regard to its choice of law or conflict of laws principles. Sumitomo will not object to complying with any of the provisions outlined in this Agreement on the basis of jurisdiction.

53. This Agreement constitutes the entire, complete and integrated agreement among End-Payor Plaintiffs and Sumitomo pertaining to the settlement of the Actions against Sumitomo, and supersedes all prior and contemporaneous undertakings, communications, representations, understandings, negotiations and discussions, either oral or written, between End-Payor Plaintiffs and Sumitomo in connection herewith. This Agreement may not be modified or amended except in writing executed by End-Payor Plaintiffs and Sumitomo, and approved by the Court.

54. This Agreement shall be binding upon, and inure to the benefit of, the successors and assigns of End-Payor Plaintiffs and Sumitomo. Without limiting the generality of the

foregoing, each and every covenant and agreement made herein by End-Payor Plaintiffs or Settlement Class Counsel shall be binding upon all Settlement Class Members and Releasers. The Releasees (other than Sumitomo entities which are parties hereto) are third-party beneficiaries of this Agreement and are authorized to enforce its terms applicable to them.

55. This Agreement may be executed in counterparts by End-Payor Plaintiffs and Sumitomo, and a facsimile signature shall be deemed an original signature for purposes of executing this Agreement.

56. Neither End-Payor Plaintiffs nor Sumitomo shall be considered to be the drafter of this Agreement or any of its provisions for the purpose of any statute, case law, or rule of interpretation or construction that would or might cause any provision to be construed against the drafter of this Agreement.

57. Where this Agreement requires either party to provide notice or any other communication or document to the other, such notice shall be in writing, and such notice, communication or document shall be provided by facsimile, or electronic mail (provided that the recipient acknowledges having received that email, with an automatic "read receipt" or similar notice constituting an acknowledgement of an email receipt for purposes of this Paragraph), or letter by overnight delivery to the undersigned counsel of record for the party to whom notice is being provided.

58. Each of the undersigned attorneys represents that he or she is fully authorized to enter into the terms and conditions of, and to execute, this Agreement subject to Court approval.

59. This Agreement shall become effective upon approval by the Board of Directors of SEI, and Sumitomo shall promptly notify End-Payor Plaintiffs upon receipt of such approval.

Dated: September 15, 2015



Marc M. Seltzer  
Steven G. Sklaver  
**SUSMAN GODFREY L.L.P.**  
1901 Avenue of the Stars, Suite 950  
Los Angeles, CA 90067  
Telephone: (310) 789-3100  
Facsimile: (310) 789-3150

Terrell W. Oxford  
Omar Ochoa  
**SUSMAN GODFREY LLP**  
901 Main Street, Suite 5100  
Dallas, Texas 75202  
Telephone: (214) 754-1900  
Facsimile: (214) 754-1933

Steven N. Williams  
Adam J. Zapala  
Elizabeth Tran  
**COTCHETT, PITRE & MCCARTHY, LLP**  
San Francisco Office Center  
840 Malcolm Road, Suite 200  
Burlingame, CA 94010  
Telephone: (650) 697-6000  
Facsimile: (650) 697-0577

Hollis Salzman  
Bernard Persky  
William V. Reiss  
**ROBINS KAPLAN LLP**  
601 Lexington Avenue Suite 3400  
New York, NY 10022  
Telephone: (212) 980-7400  
Facsimile: (212) 980-7499

*Interim Co-Lead Class Counsel and Settlement  
Class Counsel*



Marguerite M. Sullivan  
**LATHAM & WATKINS LLP**  
555 Eleventh Street NW, Suite 1000  
Washington, DC 20004  
Tel.: 202-637-2200

September 15, 2015

Fax: 202-637-2201

Daniel M. Wall  
**LATHAM & WATKINS LLP**  
505 Montgomery Street, Suite 2000  
San Francisco, CA 94111  
Tel.: 415-395-0600  
Fax: 415-395-8095

William H. Horton (P31567)  
**GIARMARCO, MULLINS & HORTON,  
P.C.**  
101 West Big Beaver Road, Tenth Floor  
Troy, MI 48084-5280  
Tel.: 248-457-7060

*Attorneys for Defendants Sumitomo Electric  
Industries, Ltd.; Sumitomo Wiring Systems,  
Ltd.; Sumitomo Electric Wiring Systems, Inc.,  
K&S Wiring Systems, Inc., and Sumitomo  
Wiring Systems (U.S.A.) Inc.*

# EXHIBIT B

The JFTC Issued the Cease and Desist Orders and Surcharge Payment Orders to participants in bid-rigging conspiracies for automotive wire harnesses and related products

January 19, 2012  
Japan Fair Trade Commission

The Japan Fair Trade Commission (JFTC) issued cease and desist orders and surcharge payment orders based on the Article 7(2) and the Article 7-2(1) of the Antimonopoly Act (AMA) to automobile parts manufacturers below. They conspired in procurement of automotive wire harnesses and related products (note1) ordered by automobile manufactures (note2), which violated the Article 3 of the AMA.

The JFTC initiated the investigation in February 2010 at around the same time as the other competition authorities including United States Department of Justice.

(Note1) "Automotive wire harnesses" are used for electrical-circuits which play a role of the transmission of electric current and signals to operate each device in an automobile, such as audios and airbags, as often compared to human nerves or blood vessels. "Related products" means wiring devices that have functions including protecting an automobile from excessive electronic current by cutting off the current.

(Note2) "Automobile manufactures" are Toyota Motor Corporation, Toyota Auto Body Co.,Ltd. and Kanto Auto Works, Ltd. (Toyota), Daihatsu Motor Co.,Ltd. (Daihatsu), Honda Motor Co.,Ltd. (Honda), Nissan Motor Co.,Ltd. and Nissan Shatai Co.,Ltd. (Nissan) , and Fuji Heavy Industries Ltd. (Fuji)

1. The violators, the number of companies subject to the cease and desist orders, the surcharge payment orders and the amount of the surcharge

Name	Number of cease and desist orders	Number of surcharge payment orders	Total amount of surcharge (yen)
Yazaki Corporation	5	5	9,607,130,000
Sumitomo Electric Industries Ltd.	-	3	2,102,220,000
Fujikura Ltd.	1	1	1,182,320,000
Furukawa Electric Co., Ltd.	-	-	-
Total			12,891,670,000

(Note3) "-" means a company that is a violator but not subject to the cease and desist order or the surcharge payment order.



2. Outlines of the violations

(1) Concerning the products ordered by Toyota

Since September 2002 at the latest, Yazaki Corporation (Yazaki), Sumitomo Electric Industries Ltd. (Sumitomo) and Furukawa Electric Co Ltd. (Furukawa) substantially restrained competition in the field of the products ordered by Toyota, by appointing the designated successful bidder and managing to have the designated successful bidder win the bidding.

(2) Concerning the products ordered by Daihatsu

Since December 2000 at the latest, Yazaki, Sumitomo and Furukawa substantially restrained competition in the field of the products ordered by Daihatsu, by appointing the designated successful bidder and managing to have the designated successful bidder win the bidding.

(3) Concerning the products ordered by Honda

Since September 2003 at the latest, Yazaki, Sumitomo and Furukawa substantially restrained competition in the field of the products ordered by Honda, by appointing the designated successful bidder and managing to have the designated successful bidder win the bidding.

(4) Concerning the products ordered by Nissan

Since May 2002 at the latest, Yazaki and Sumitomo substantially restrained competition in the field of the products ordered by Nissan, by appointing the designated successful bidder and managing to have the designated successful bidder win the bidding.

(5) Concerning the products ordered by Fuji

Since July 2000 at the latest, Fujikura Ltd. (Fujikura), Yazaki and Furukawa substantially restrained competition in the field of the products ordered by Fuji, by appointing the designated successful bidder and managing to have the designated successful bidder win the bidding.

3. Outlines of the cease and desist orders

- (1) Yazaki and Fujikura shall adopt a resolution at their Board of Directors confirming that they have terminated the conduct in the item2 above, and that they will independently carry out their business without taking any conduct as the item2 above.

- (2) The two companies shall each notify the measures taken in accordance with the item 3(1) above to the other violators and the automobile manufactures, and shall have such measures disseminated to their employees.
- (3) The two companies shall not take any conduct as item 2 above in the future.
- (4) The two companies shall take measures necessary to do the following;
  - (a) Thorough announcement to their employees on guidelines of activities regarding compliance with AMA in relation to sales activities for their own products.
  - (b) Implementation of a regular training program for the staff engaged in sales of the wire harnesses and related products and regular audits by the legal department, with regard to compliance with the AMA

4. Outline of the surcharge payment orders

Yazaki, Sumitomo and Fujikura shall pay the amount of the surcharge by April 20, 2012.

Name	Cease and desist order (upper lines)					Total
	Amount of surcharge payment (lower lines) (yen)					
	Products ordered by Toyota	Products ordered by Daihatsu	Products ordered by Honda	Products ordered by Nissan	Products ordered by Fuji	
Yazaki	○	○	○	○	○	5
	4,979,950,000	872,150,000	2,763,500,000	440,030,000	551,500,000	9,607,130,000
Sumitomo	-	-	-	-	/	-
	738,610,000	482,950,000	880,660,000	-	/	2,102,220,000
Fujikura	/	/	/	/	○	1
	/	/	/	/	1,182,320,000	1,182,320,000
Furukawa	-	-	-	/	-	-
	-	-	-	/	-	-
Number of violators	3	3	3	2	3	Gross 14 (Actual 4)
Number of companies subject to the cease and desist orders	1	1	1	1	2	Gross 6 (Actual 2)
Number of companies subject to the surcharge payment orders	2	2	2	1	2	Gross 9 (Actual 3)
Total amount of surcharge	5,718,560,000	1,355,100,000	3,644,160,000	440,030,000	1,733,820,000	12,891,670,000

(Note4) "○" means a company that is subject to the cease and desist order. "-" means a company that is a violator but not subject to the cease and desist order or the surcharge payment order. "/" means a company that is not a violator.

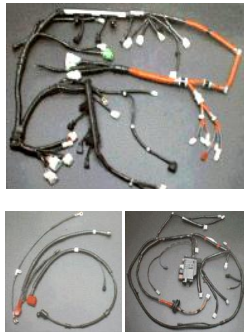
Before issuing the cease and desist orders, and surcharge payment orders, the JFTC gave the enterprises in question an advance notification on the contents of the orders and an opportunity to present their views and to submit evidence. Considering the views and evidence from them, the JFTC issued the orders. The recipients dissatisfied with the orders may request the JFTC to initiate a hearing regarding the orders within sixty days of the date on which the transcript of the orders were served.

Reference

I The functions of automotive wire harness and related products

◇ Automotive wire harness

Main wire harness	Function
Engine-compartment harness	Wired inside automobile engine-compartments and transmitting electric current and signals to devices in an engine and engine compartments.
Instrument-panel harness	Wired behind an instrument-panel and transmitting electric current and signals to devices such as audios and meters .
Floor harness	Wired under a floor and transmitting electric current and signals mainly to back part of a automobile.
Door harness	Wired inside a door and transmitting electric current and signals to devices such as power window, door speaker and door mirror.



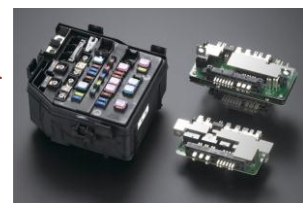
【Engine-compartment harness】



【Floor harness】

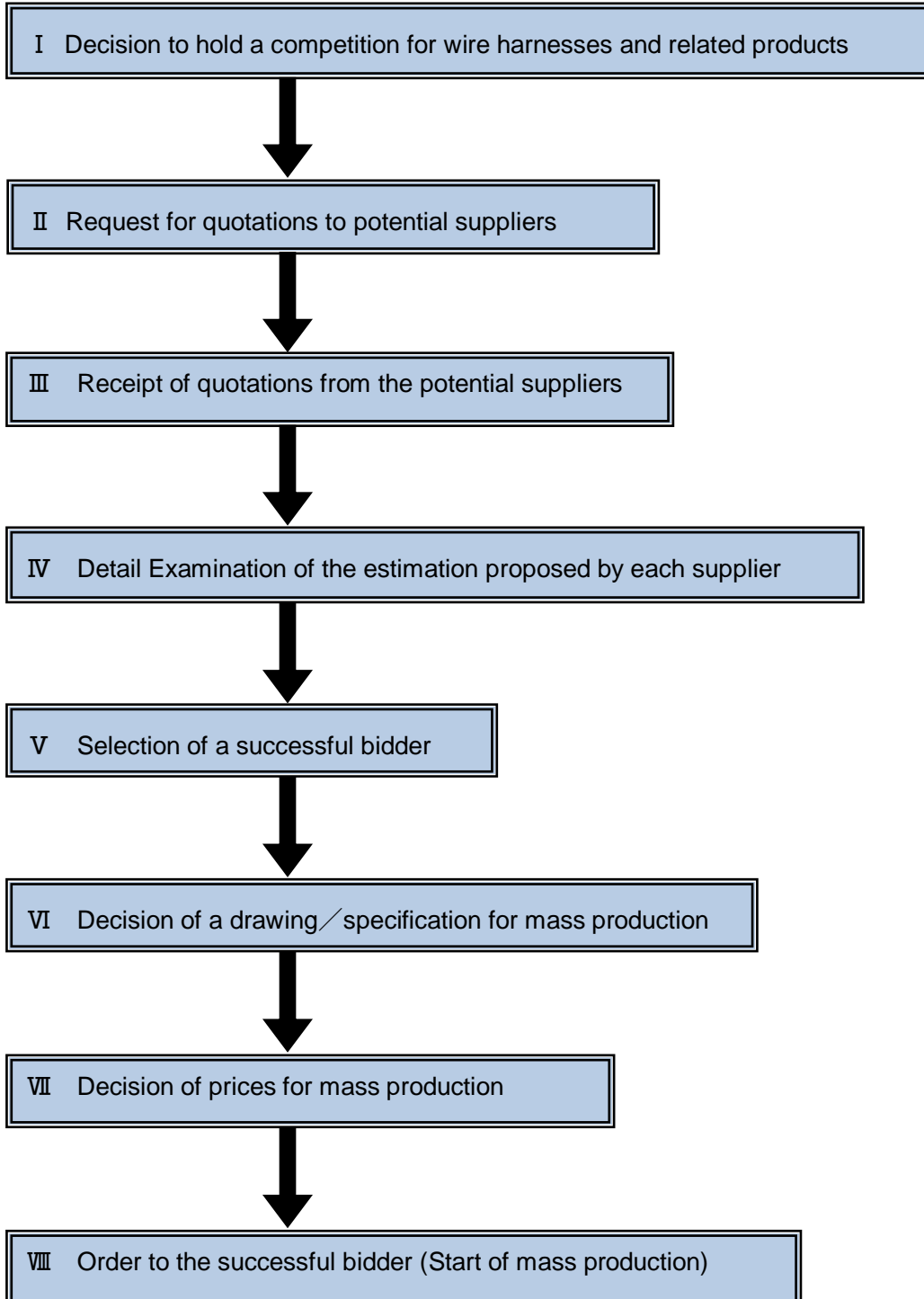
◇ Related products for automotive wire harness

Main related products	Function
Relay Block or Relay Box	On-off control of electronic equipment in response to electric signals flowing inside a wire harness.
Fuse Block or Fuse Box	Cutting off excessive electronic current that is supplied to electronic equipment through a wire harness.
Junction Block or Joint Box	Combining, diverging and relaying multiple wire harnesses.



【Joint Box and Relay Box】

II Overview of flow of competition to order for automotive wire harnesses and related products ordered by automobile manufacturers



# EXHIBIT C



**EUROPEAN COMMISSION**

**PRESS RELEASE**

Brussels, 10 July 2013

## **Antitrust: Commission fines producers of wire harnesses € 141 million in cartel settlement**

The European Commission has fined the car parts suppliers Sumitomo, Yazaki, Furukawa, S-Y Systems Technologies (SYS) and Leoni a total of € 141 791 000 for operating five cartels for the supply of wire harnesses to Toyota, Honda, Nissan and Renault. Wire harnesses conduct electricity in cars, for instance to start the motor, to open the window or to switch the air-conditioner on. They are often described as the 'central nervous system' of the car. The cartels covered the whole European Economic Area (EEA).

Sumitomo was not fined for any of the five cartels as it benefited from immunity under the Commission's [2006 Leniency Notice](#) for revealing the existence of the cartels to the Commission. All other companies received reductions of their fines for their cooperation in the investigation under the Commission's leniency programme. Since the companies agreed to settle the case with the Commission, their fines were further reduced by 10%.

Commission Vice President in charge of competition policy, Joaquín Almunia, said: *"The cartelised car parts were sold to Toyota, Honda, Nissan and Renault including for cars produced in Europe. Today's decision shows the first results in the Commission's wider investigative effort to detect and sanction any illegal cartels in markets for car parts. Such cartels may harm the competitiveness of the automotive industry and artificially inflate prices for final buyers of cars"*.

The companies coordinated the prices and allocation of supplies of wire harnesses to the respective car manufacturers. The cartel contacts took place both in Japan and in the EEA:

- For Toyota and Honda, the participants rigged a series of tenders for the supply of wire harnesses, including all tenders for supplies to the European manufacturing facilities published during the cartel period.
- For Nissan and Renault, the participants rigged – or attempted to rig – single tendering procedures for some individual models.

Sumitomo, Yazaki, Furukawa, SYS and Leoni were involved in one or several of the infringements. The duration of the cartels varied. The below table provides an overview of the overall duration and participants for each of the infringements (duration for individual participants in each of the infringement may vary):

Infringement	Participants	Duration
Toyota	Sumitomo, Yazaki and Furukawa	6 March 2000 to 5 August 2009
Honda	Sumitomo, Yazaki and Furukawa	5 March 2001 to 7 September 2009
Nissan	Sumitomo and Yazaki	14 September 2006 to 16 November 2006
Renault I	Sumitomo and SYS	28 September 2004 to 13 March 2006
Renault II	Sumitomo, SYS and Leoni	5 May 2009 to 22 December 2009

The total fine imposed on each of the undertakings for their participation in the respective infringements is as follows:

Yazaki – € 125 341 000 for its involvement in the Toyota, Honda and Nissan infringements,

Furukawa - € 4 015 000 for its involvement in the Toyota and Honda infringements,

SYS – € 11 057 000 for its involvement in the two Renault infringements and

Leoni – € 1 378 000 for its involvement in the Renault II infringement.

## Fines

The fines were set on the basis of the [Commission's 2006 Guidelines on fines](#) (see [IP/06/857](#) and [MEMO/06/256](#)).

In setting the level of fines, the Commission took into account the companies' sales of the products concerned in the EEA, the very serious nature of the infringement, its geographic scope and its duration.

Sumitomo received full immunity for revealing the existence of the cartel and thereby avoided a fine of € 291 638 000 for its participation in all five infringements.

All parties benefited from reductions under the 2006 Leniency Notice. Furukawa, Yazaki, SYS and Leoni received reductions of fines ranging from 20 to 50% for their cooperation. The reductions reflect the timing of their cooperation and the extent to which the evidence they provided helped the Commission to prove the respective cartels.

Moreover, under the Commission's [2008 Settlement Notice](#), the Commission reduced the fines imposed by 10% as the companies concerned acknowledged their participation in the cartel and their liability in this respect.



## Background

Wire harnesses transmit electrical power throughout the vehicle: passenger's safety, comfort and in general the motor function of the vehicle depend on the electrical power transmitted by wire harnesses.

The Commission's investigation started with unannounced inspections in February 2010 (see [MEMO/10/49](#)). The Commission opened proceedings in August 2012 (see [IP/12/894](#)).

In parallel, the Commission is currently investigating other areas of the car parts sector. Unannounced inspections by the Commission have taken place in the sectors of occupant safety systems (see [MEMO/11/395](#)), bearings (see [MEMO/11/766](#)), thermal systems (see [MEMO/12/563](#)) and lighting.

More information on this case will be available under the case number [39748](#) in the [public case register](#) on the Commission's [competition](#) website, once confidentiality issues have been dealt with. For more information on the Commission's action against cartels, see its [cartels](#) website.

## The settlement procedure

Today's decision is the seventh settlement decision since the introduction of the settlement procedure for cartels in June 2008 (see [IP/08/1056](#) and [MEMO/08/458](#)). Under a settlement, companies that have participated to a cartel acknowledge their participation in the infringement and their liability for it. The settlement procedure is based on the Antitrust Regulation 1/2003 and allows the Commission to apply a simplified procedure and thereby reduce the length of the investigation. This is good for consumers and for taxpayers as it reduces costs; good for antitrust enforcement as it frees up resources to tackle other suspected cases; and good for the companies themselves that benefit from quicker decisions and a 10% reduction in fines.

The Commission previously reached settlements with participants in cartels for DRAMs (see [IP/10/586](#)), animal feed phosphates (see [IP/10/985](#)), washing powder (see [IP/11/473](#)), glass for cathode ray tubes (see [IP/11/1214](#)), compressors for fridges (see [IP/11/1511](#)) and water management products (see [IP/12/704](#)).

## Action for damages

Any person or firm affected by anti-competitive behaviour as described in this case may bring the matter before the courts of the Member States and seek damages. The case law of the Court and Council Regulation 1/2003 both confirm that in cases before national courts, a Commission decision is binding proof that the behaviour took place and was illegal. Even though the Commission has fined the companies concerned, damages may be awarded without these being reduced on account of the Commission fine.

In June 2013, the Commission has adopted a proposal for a Directive that aims at making it easier for victims of anti-competitive practices to obtain such damages (see [IP/13/525](#) and [MEMO/13/531](#)). More information on antitrust damages actions, including a practical guide on how to quantify the harm typically caused by antitrust infringements, the public consultation and a citizens' summary, is available at:

<http://ec.europa.eu/competition/antitrust/actionsdamages/documents.html>

Contacts :

[Antoine Colombani](#) (+32 2 297 45 13 -Twitter: [@ECspokesAntoine](#).)

[Marisa Gonzalez Iglesias](#) (+32 2 295 19 25)